Next Generation Identification
Rap Back Services

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The Rap Back service was deployed with the implementation of the NGI Increment 4 and is designed to assist state and local agencies in the continuous vetting of individuals in a position of trust. In essence, it alleviates the re-fingerprinting an individual for the same position over a period of time. If there is any activity on the identity history for an individual subscribed, the submitting agency will be notified immediately.
There are two domains within the NGI Rap Back Service.

Each domain is governed by their own guide and appendices.

- Non-Criminal Justice
- Criminal Justice
Requirements

- Requirements within the Domains:

  - Have Biometrics
  - Have Electronic Biometric Transmission Specification (EBTS) 10.0 capability
  - Authority to submit, retain, and cascade searches against criminal and latent database
  - Suitable Privacy Statements
    - Non-Criminal only
  - Completed testing
  - Completed Appendix 2 for Non-Criminal Purposes or communicated with CJIS for Criminal

*ALL interested agencies are required to work with their respective State Identification Bureau for Rap Back participation*
 Definitions

- **Rap Back** - a major tool for suitability and investigative purposes that propels agencies to the next level with electronic notifications to the Submitter.

- **Submitter** - agency with WAN connection to feed information to NGI

- **Subscriber** - subordinate agency of Submitter

- **Subscription** - an actual enrollment on a NGI retained record of which automatic notifications will be sent to Submitter
Submitter must have statutory authority or other appropriate authority:

1. To submit the fingerprints to NGI;

2. For the fingerprints to be retained by NGI; and,

3. For the fingerprints to be searched by future submissions to the NGI system and appropriate responses sent to Submitting and Subscribing Entities. These future searches include latent fingerprint searches.
Rap Back does not create any new authority

• Rap Back does not provide access to CJIS data for any entities not currently authorized.

• Rap Back does not provide access to additional data for those entities already authorized to receive CJIS data.

• Rap Back only provides a more efficient means of access for entities already authorized (or authorized in the future) to receive the appropriate information from the CJIS Division.
Non-Criminal Justice Rap Back refers to the following functions:

- **Search**: Submission of fingerprints for civil applicants, volunteers, licensees, etc. to a repository such as a state SIB or the FBI NGI Rap Back Service.

- **Retain**: Retention of fingerprints (as new Identities or matched to existing Identities) in NGI.

- **Notify**: Notification of any future reported events selected as Rap Back Triggering Events, for which the Subscriber is authorized.
Non-Criminal Rap Back
Key Stakeholders (not all inclusive)

- Health care providers
- Banks
- Mortgage Lenders
- Teachers/Day Care/Elder Care
- Criminal Justice Agency employees
- FEMA workers
- Hazmat Drivers
- TSA workers
- Volunteers: Red Cross, Disaster relief
The Submitter must decide upon and implement an appropriate Subscription Management Plan for subscriptions they submit.

<table>
<thead>
<tr>
<th>Rap Back Category</th>
<th>Rap Back Category Code</th>
<th>Maps to Purpose Code</th>
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<tbody>
<tr>
<td>Firearms</td>
<td>F</td>
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<tr>
<td>Volunteer, Child Care/School Employee, Non-Criminal Justice Employment and Licensing</td>
<td>I</td>
<td>I</td>
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<tr>
<td>Criminal Justice Employment</td>
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<tr>
<td>Criminal Justice Investigative</td>
<td>CI</td>
<td>C</td>
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<tr>
<td>Criminal Justice – Supervision</td>
<td>CS</td>
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<tr>
<td>Security Clearance Information Act</td>
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Subscription Management Plans

- **Event Based** - Subscription plan to send a set of Civil fingerprints and subscription to NGI for each Rap Back subscription.

- **Person Based** - Subscription plan to send only one subscription per category and maintain subsequent subscriptions of same category at state or agency level.
Event Based Subscription Management Plan

Event Based

- Submitter establishes a new NGI Rap Back subscription for each subscription request they receive from a Subscribing Entity.

- Submitter establishes the subscriptions with the requesting entity as the Subscriber (CRI field).

- All relevant subscription information is in the NGI subscription record.
Event Based Rap Back Subscriptions

NGI-Search/Retain/Subscribe One Subscription Per Event

Teacher
Soccer Coach
Basketball Coach
Volunteer

Permit to Purchase
Carry Concealed Permit
Army Reserves
FBI Analyst
Person Based

- Is intended to provide Submitters who operate existing Rap Back systems a more effective means of integrating the federal program into their existing services.
- Places more implementation responsibility in the Submitter.
- Must be discussed with CJIS prior to being chosen as the Submitter’s Subscription Management Plan.
Person Based Subscription Management Plan

**Person Based**

- Submitter establishes only **one** NGI subscription for a person in a Category, regardless of how many subscription requests they receive for that person in that Category.

- Submitter establishes the subscriptions with the themselves as the Submitter and Subscriber (ORI and CRI fields).

- Submitter manages all Rap Back activities from info in their own Rap Back Service.

- Submitter agrees to apply NGI Rap Back rules to all records and activities in their Rap Back Service.
Person Based Rap Back Subscriptions

NGI-Search/Retain/Subscribe
One Subscription Per Category Per Person

State Maintains Remaining Rap Back Subscriptions Per Categories Per Person
There are two basic work flows for setting NGI Rap Back subscriptions:

- **SEARCH and SUBSCRIBE**
  - If Subscriber does not want to review the applicant’s FBI record before setting the subscription.

- **SEARCH and RETAIN followed by SUBSEQUENT SUBSCRIPTION**
  - If Subscriber does want to review the applicant’s FBI record before setting the subscription.

Submitter must agree with Subscribers on which works best for their populations.

Subscribers control which Identities are subscribed.
Triggers

1. Criminal - NGI will notify a Subscriber anytime a subscribed Identity is arrested. In addition Subscribers may be notified of any of the following events.
2. Dispositions
3. Expunge/Partial Expungement
4. Warrant entry with FBI number included
5. Warrant Deletion
6. Warrant Modification
7. Sex Offender Registry entry
8. Sex Offender Registry Deletion
9. Sex Offender Registry Modification
10. Death Notices
11. Civil (for SCIA approved Federal Agencies)
Non-Criminal Choices

- **Choice of one Rap Back Activity Notification:**
  - Pre-notification
  - Triggering Event
  - Triggering Event with IdHS

- **Options:**
  - In state notifications
  - Monthly validations
  - User defined Fields
  - Attention field
  - Rap Back Recipients
Privacy Risk Mitigation Strategies

The Subscriber must agree with Submitter on the appropriate Privacy Risk Mitigation Strategy for their populations:

1. Pre-Notification with Mandatory Validation/Expiration within Five Years;
2. Authority for Duration of a License;
3. Statutory Authority for Set Period of Time;
4. One-Year Validation/Expiration; or,
5. Subscription Synchronization through Automated or Formalized Procedures.
• The **Rap Back Term Date** reflects how long a subscription period has been purchased by the Subscriber through the payment of the fee, as described earlier:
  • A Subscription Term up to 2 years costs $2.25
  • A Subscription Term up to 5 years costs $6.00
  • A Subscription Term up to lifetime costs $13.00

• The **Expiration Date** is the date at which the subscription expires to fulfill the validation requirement, even if time still remains on the Subscription Term.
Subscription Terms

• No fee is charged for the Subscription to be “extended” with a new Expiration Date that is less than or equal to the Subscription Term.

• If the new Expiration Date would extend the Subscription past the Rap Back Term Date, the Subscriber must either:
  • Use a different Expiration Date that is less than the Rap Back Term Date;
  Or
  • “Renew” the Subscription for a new Expiration Date and Subscription Term and pay a new subscription fee.

• The Rap Back “Renew” transaction causes Rap Back subscription to be renewed for the originally purchased Subscription Term and charges the current fee for that Subscription Term.
**Event Based Submitters** must use the monthly expiration/validation lists or other means to ensure that every subscription is validated by its Expiration Date.

**Person Based Submitters** must create a process that:
- Validates their NGI subscriptions; and,
- Ensures that all Submitter-level subscriptions referenced by their NGI subscriptions are validated on schedule.
Criminal
Criminal Stakeholders

- Probation/Parolee officers
- Agents
- Law Enforcement Community
Criminal Preparedness

- All Criminal Subscriptions will be Event Subscription Plan
- All Criminal Subscriptions can only be set on retained criminal records
- The CJIS Security policy will be used for training and auditing
- Authority
- Appropriate Expiration dates will be set following authority
- Must have Active Case number
- Criminal Subscription for Authorized Supervisions (CS)
- Criminal Subscriptions for Authorized Investigations (CI)
- Authority to retain Juvenile Arrests and can enroll in Rap Back if needed

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<tr>
<th>Name</th>
<th>Title</th>
<th>Team</th>
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Thank You!

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